

| Application No. | Date of Filing | Status-Patented,Pending or Abandoned |
|-----------------|----------------|--------------------------------------|
| 08/814,199      | March 10, 1997 | Pending                              |

# APPLICABLE STATUTES & RULES

## 37 CFR 1.56: DUTY TO DISCLOSE INFORMATION MATERIAL TO PATENTABILITY.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to the patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by ss 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in;
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

## 35 U.S.C. 102: CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

## 35 U.S.C. 103: CONDITIONS FOR PATENTABILITY: NON-OBVIOUS SUBJECT MATTER

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

## 35 U.S.C. 119: BENEFIT OF EARLIER FILING DATE IN FOREIGN COUNTRY; RIGHT OF PRIORITY (Applicable Portion)

An application for patent for an invention filed in this country by any person who has, or whose legal representatives or assigns have, previously regularly filed an application for a patent for the same invention in a foreign country which affords similar privileges in the case of applications filed in the United States or to citizens of the United States, shall have the same effect as the same application would have if filed in this country on the date on which the application for patent for the same invention was first filed in such foreign country, if the application in this country is filed within twelve months from the earliest date on which such foreign application was filed; but no patent shall be granted on any application for a patent for an invention which has been patented or described in a printed publication in any country more than one year before the date of the actual filing of the application in this country, or which had been in public use or on sale in this country more than one year prior to such filing.

## 35 U.S.C. 120: BENEFIT OF EARLIER FILING DATE IN THE UNITED STATES

An application for patent for an invention disclosed in the manner provided by the first paragraph of section 112 of this title in an application previously filed in the United States, or as provided by section 363 of this title, by the same invention shall have the same effect, as to such invention, as though filed on the date of the prior application, if filed before the patenting or abandonment of or termination of proceedings on the first application or on an application similarly entitled to the benefit of the filing date of the first application and if it contains or is amended to contain a specific reference to the earlier filed application.

## 35 U.S.C. 112: SPECIFICATION (Applicable Portion)

The Specification shall contain a written description of the invention, and of the making and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make the use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The specification shall conclude with one or more claims particularly pointing out and distinctive claiming the subject matter which the applicant regards as his invention.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**SIGNATURE(S)**

Full name of sole or first inventor **ANTHONY R. PEREZ**

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence 1475 E. Molino Avenue, Pasadena, CA 91106

Post Office Address 1475 E. Molino Avenue  
Pasadena, CA 91106

Full name of second joint inventor **JOHN R. FIRTH**

Inventor's signature *John R. Firth*

Date March 5, 1998 Country of Citizenship U.S.A.

Residence 7887 Sacajawea Way, Wilsonville, OR 97070

Post Office Address 7887 Sacajawea Way  
Wilsonville, OR 97070

Full name of third joint inventor **DAVID W. MITCHELL**

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence 7115 E. Suffolk Circle, Orange, CA 92869

Post Office Address 7115 E. Suffolk Circle  
Orange, CA 92869

(Signatures should conform to names as presented above)

**DECLARATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD,

the specification of which

(Check One): XX is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on (if applicable) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 printed on the reverse side of this Declaration. I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| Application No. | Country | Date of Filing | Priority Claimed |    |
|-----------------|---------|----------------|------------------|----|
|                 |         |                | Yes              | No |
|                 |         |                |                  |    |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

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- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
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The specification shall conclude with one or more claims particularly pointing out and distinctive claiming the subject matter which the applicant regards as his invention.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full name of sole or first inventor

ANTHONY R. PEREZ

Inventor's signature

Date

Country of Citizenship

U.S.A.

Residence

1475 E. Molino Avenue, Pasadena, CA 91106

Post Office Address

1475 E. Molino Avenue

Pasadena, CA 91106

Full name of second joint inventor

JOHN R. FIRTH

Inventor's signature

Date

Country of Citizenship

U.S.A.

Residence

7887 Sacajawea Way, Wilsonville, OR 97070

Post Office Address

7887 Sacajawea Way

Wilsonville, OR 97070

Full name of third joint inventor

DAVID W. MITCHELL

Inventor's signature

Date

Country of Citizenship

U.S.A.

Residence

7115 E. Suffolk Circle, Orange, CA 92869

Post Office Address

7115 E. Suffolk Circle

Orange, CA 92869

(Signatures should conform to names as presented above)

CERTIFICATE OF EXPRESS MAILING  
I hereby certify that this paper (along with any referred  
to as being attached or enclosed) is being deposited with  
the United States Postal Service Express Mail Post  
Office to Addressee" service under 37 C.F.R. 1.10 in an  
envelope addressed to the Assistant Commissioner for  
Patents, Washington, D.C. 20231 on the date shown  
below.

Express Mailing Label No. EL074059414US

Date: March 19, 1998

Signature

*Linda L. Bolter*  
Linda L. Bolter

## A S S I G N M E N T

10023587-121701  
WHEREAS, I, JOHN R. FIRTH, a citizen of the United States,  
residing at 7887 Sacajawea Way, Wilsonville, Oregon  
97070, (hereinafter referred to as "ASSIGNOR"), have invented and  
own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT  
DOSE SYRINGE GUARD" for which application for Letters Patent of  
the United States of America was filed on October 2, 1997 and  
assigned Serial No. 08/942,938.

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and  
existing under and by virtue of the laws of the State of  
California and having its principal place of business at 250 West  
Colorado Boulevard, Suite 101, Arcadia, California 91007  
(hereinafter referred to as "ASSIGNEE"), is desirous of acquiring  
the exclusive right, title and interest in, to and under said  
invention and in, to and under Letters Patent or similar legal  
protection to be obtained therefor in the United States of  
America, its territorial possessions and in any and all countries  
foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the  
receipt of which is hereby acknowledged, ASSIGNOR hereby sells,  
assign, transfer and set over unto the said ASSIGNEE, its  
successors and assigns, the full and exclusive right, title and

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10023587-121701  
interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

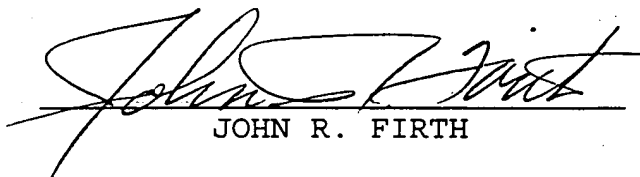
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated



legal representative any and all papers, instruments,  
declarations or affidavits required to apply for, obtain,  
maintain, issue and enforce said application, said invention and  
said Letters Patent and said equivalents thereof in any foreign  
country which may be necessary or desirable to carry out the  
purposes thereof.

WITNESS my hand at Costa Mesa, California, this 5th  
day of March 1998.

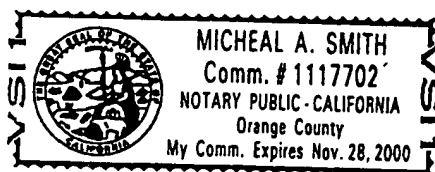
  
JOHN R. FIRTH


STATE OF CALIFORNIA           )  
  )  
COUNTY OF Orange           )       ss.

On this 5th day of March, 1998 before me, a  
Notary Public, personally appeared JOHN R. FIRTH ~~to me~~ (or proved  
to me on the basis of satisfactory evidence) to be the person  
whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized  
capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Seal



  
Notary Public in and for  
said County and State

A S S I G N M E N T

10023587 12101  
WHEREAS, we, ANTHONY R. PEREZ, a citizen of the United States, residing at 1475 South El Molino Avenue, Pasadena, California 91106; JOHN R. FIRTH, a citizen of the United States, residing at 7887 Sacajawea Way, Wilsonville, Oregon 97070; and DAVID W. MITCHELL, a citizen of the United States, residing at 7115 E. Suffolk Circle, Orange, California 92869 (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD" for which application for Letters Patent of the United States of America has been executed on even date herewith;

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 250 West Colorado Boulevard, Suite 101, Arcadia, California 91007 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said

invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

10023587 121701  
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent

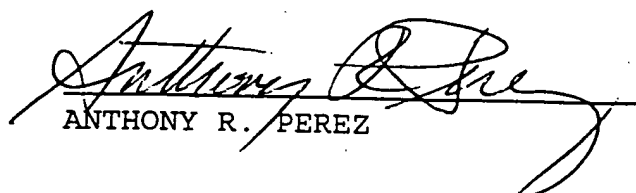
thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

10023587 121701


WITNESS my hand at Menlo Park, California, this  
1<sup>st</sup> day of Oct, 1997.

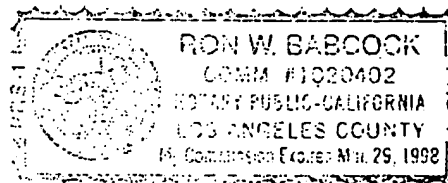
  
ANTHONY R. PEREZ

STATE OF CALIFORNIA )  
 )  
COUNTY OF San Diego ) ss.

On this 1<sup>st</sup> day of Oct, 1997,  
before me, a Notary Public, personally appeared ANTHONY R. PEREZ  
known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same in  
his authorized capacity and that by his signature on the  
instrument the person, or the entity upon behalf of which the  
person acted, executed the instrument.

WITNESS my hand and official seal.

  
Notary Public in and for  
said County and State



10023587-121701

WITNESS my hand at \_\_\_\_\_, Oregon,  
this \_\_\_\_\_ day of \_\_\_\_\_, 1997.

\_\_\_\_\_  
JOHN R. FIRTH

STATE OF OREGON                    )  
  )     ss.  
COUNTY OF \_\_\_\_\_ )

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the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized  
capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public in and for  
said County and State

Seal

10023587 121701

WITNESS my hand at Menlo Park, California, this

1<sup>st</sup> day of Oct., 1997.

David W. Mitchell

DAVID W. MITCHELL

STATE OF CALIFORNIA )

) ss.

COUNTY OF LOS ANGELES )

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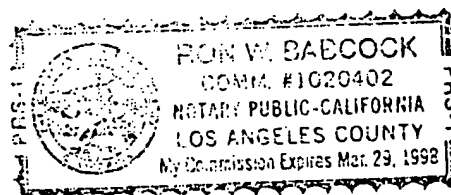
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WITNESS my hand and official seal.

NOTARY PUBLIC

[Signature]

Notary Public in and for  
said County and State



Applicant or Patentee: Anthony R. Perez, John R. Firth, and David W. Mitchell  
 Serial or Patent No.: Unknown  
 Filed or Issued: Herewith  
 For: DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

       the owner of the small business concern identified below:

XX an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN SAFETY SYRINGES, INC.

ADDRESS OF CONCERN 250 W. Colorado Blvd., Suite 101

Arcadia, CA 91007

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled

DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD

by inventor(s) Anthony R. PEREZ, John R. FIRTH, and David W. MITCHELL

described in

XX the specification filed herewith  
       the application serial no.           , filed             
       patent no.           , issued           

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

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NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING ANTHONY R. PEREZ  
 TITLE OF PERSON SIGNING President  
 ADDRESS OF PERSON SIGNING 250 W. Colorado Blvd., Suite 101  
Arcadia, CA 91007

SIGNATURE *Anthony R. Perez* DATE *Oct 1, 1997*

10023587 121701

**POWER OF ATTORNEY**SAFETY SYRINGES, INC. assignee(s) of the application for United States Letters Patent forDISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD

(Title)

by Anthony R. Perez, John R. Firth, and David W. Mitchell

(Inventors)

XX executed on even date herewith, or           having Serial No.                     , filed                     ,

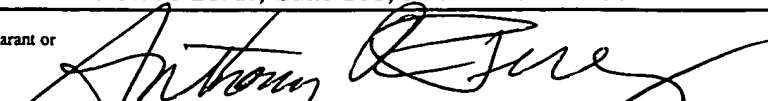
a copy of the assignment of which is attached hereto, do(es) hereby appoint as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

The registered attorneys listed below and members of or associates in the law firm of **LYON & LYON**, 633 West Fifth Street, Suite 4700, Los Angeles, California 90071-2066, Registration No. 11,611, whose members are all admitted to the Bar of the State of California:

|                       |             |                     |             |                     |             |                    |             |
|-----------------------|-------------|---------------------|-------------|---------------------|-------------|--------------------|-------------|
| Roland N. Smoot       | Reg. 18,718 | Coe A. Bloomberg    | Reg. 26,605 | Paul H. Meier       | Reg. 32,274 | Corrine M. Freeman | Reg. 37,625 |
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| Samuel B. Stone       | Reg. 19,297 | Allan W. Jansen     | Reg. 29,395 | Lois M. Kwasigroch  | Reg. 35,579 | Charles C. Fowler  | Reg. 39,675 |
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| Robert E. Lyon        | Reg. 24,171 | Roy L. Anderson     | Reg. 30,240 | Lawrence R. LaPorte | Reg. 38,948 | James K. Sakaguchi | Reg. 41,285 |
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| William C. Steffin    | Reg. 26,811 | Jeffrey M. Olson    | Reg. 30,790 | Kurt T. Mulville    | Reg. 37,194 |                    |             |

Address correspondence to: **LYON & LYON**  
 Attention: Samuel B. Stone, Esq.  
 633 West Fifth Street, Suite 4700  
 Los Angeles, CA 90071-2066  
 (714) 751-6606

I, the undersigned, declare that I am the (an) assignee of the above-identified application or, if the assignee is a corporation, partnership or other association, I am authorized to make this appointment on behalf of the assignee. The above-identified assignee is the owner of this application by reason of an assignment submitted to the Patent Office herewith. In accordance with 37 CFR § 3.373(b), I certify that I have reviewed all documents in the chain of title, and to the best of my knowledge, all right, title, and interest is in the above-identified assignee, and I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

|  |                         |
|--|-------------------------|
| Full Name of Assignee <b>SAFETY SYRINGES, INC.</b>   |                         |
| Post Office Address <b>205 W. Colorado Blvd., Suite 101, Arcadia CA 91007</b>  |                         |
| Signature of Declarant or Assignee<br> | Date <b>Oct 1, 1997</b> |

# POWER OF ATTORNEY

Docket 227/211

Patent

|  |                       |      |
|--|-----------------------|------|
|  | Full Name of Assignee |      |
|  | Post Office Address   |      |
|  | Signature of Assignee | Date |

|  |   |
|--|---|
| Full Name of Declarant<br>If Other Than Assignee | ANTHONY R. PEREZ                                    |
| Title of Declarant                               | President   |
| Address of Declarant                             | 250 W. Colorado Blvd., Suite 101, Arcadia, CA 91007 |
|  |   |

0023587 121701

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Anthony R. Perez, et al.  
Serial No.: 09/634,689 Filed: August 8, 2000  
For: ***DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD***  
**ASSOCIATE POWER OF ATTORNEY**

Attorney(s): SBS/WAEnglish/sjh  
Docket No.: 256/030  
Date of Deposit: November 2, 2001  
Enclosures: Associate Power of Attorney (1 pg);  
postcard, certificate of mailing

10023587-121701

256/030  
Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                |   |                    |
|--------------------------------|---|--------------------|
| In re Application of:          | ) | Art Unit: 3763     |
|                                | ) |                    |
| PEREZ, et al.                  | ) |                    |
|                                | ) |                    |
| Serial No.: 09/634,689         | ) | Examiner: M. Hayes |
|                                | ) |                    |
| Filed: August 8, 2000          | ) |                    |
|                                | ) |                    |
| For: DISPOSABLE SELF-SHIELDING | ) |                    |
| UNIT DOSE SYRINGE GUARD        | ) |                    |

**ASSOCIATE POWER OF ATTORNEY**

Box Issue Fee  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please recognize as associate attorneys in the subject application all of the registered practitioners identified by Customer Number 22249:



**22249**  
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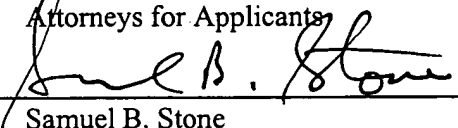
LYON & LYON LLP  
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Los Angeles, CA 90071  
(213) 489-1600

Respectfully submitted,

LYON & LYON LLP  
Attorneys for Applicants

Dated: November 2, 2001

By

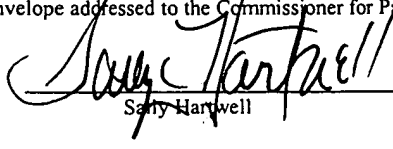
  
Samuel B. Stone  
Reg. No. 19,297

633 West Fifth Street, 47th Floor  
Los Angeles, CA 90071  
(949)567-2300

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Sally Hartwell



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LOS ANGELES, CALIFORNIA 90071-2066



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RECORDATION DATE: 03/20/1998

REEL/FRAME: 9087/0948

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FIRTH, JOHN R.

DOC DATE: 03/05/1998

ASSIGNEE:

SAFETY SYRINGES, INC.  
250 WEST COLORADO BOULEVARD, SUITE 101  
ARCAIDA, CALIFORNIA 91007

SERIAL NUMBER: 08942938

FILING DATE: 10/02/1997

PATENT NUMBER:

ISSUE DATE:

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ASSIGNMENT DIVISION  
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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN R. FIRTH

2. Name and address of receiving party(ies):

Name: SAFETY SYRINGES, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address: 250 West Colorado Boulevard, Suite 101

City: Arcadia State: CA ZIP 91007

Execution Date: March 5, 1998

Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

08/942,938

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON & LYON LLP

Internal Address: Samuel B. Stone

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: CA ZIP 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William A. English - Reg. No. P-42,515

Name of Person Signing & Reg. No.

Signature

March 19, 1998

Date

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FEBRUARY 03, 1998

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LYON & LYON LLP  
SAMUEL B. STONE  
633 WEST FIFTH STREET  
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RECORDATION DATE: 10/02/1997

REEL/FRAME: 8844/0679  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PEREZ, ANTHONY R.

DOC DATE: 10/01/1997

ASSIGNOR:

MITCHELL, DAVID W.

DOC DATE: 10/01/1997

ASSIGNEE:

SAFETY SYRINGES, INC.  
250 W. COLORADO BLVD., SUITE 101  
ARCADIA, CALIFORNIA 91007

SERIAL NUMBER: 08942938  
PATENT NUMBER:

FILING DATE: 10/02/1997  
ISSUE DATE:

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Anthony R. PEREZ and David W. MITCHELL

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: October 1, 1997

2. Name and address of receiving party(ies)

Name: SAFETY SYRINGES, INC.

Internal Address:

Street Address: 250 W. Colorado Blvd., Suite 101

City: Arcadia State: CA ZIP 91007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 2, 1997

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON & LYON LLP

Internal Address: Samuel B. Stone

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: CA ZIP 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

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Samuel B. Stone - Reg. No. 19,297

Name of Person Signing & Reg. No.

Signature

October 2, 1997

Date

OMB No. 0651-0011 (exp. 4/94)

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